

PATENT
Attorney Docket No. 030676

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Bijendra K. Shrestha :

In re application of : ENHANCED PREMIUM EQUITY
Kevin Woodruff PARTICIPATING SECURITIES
Group Art Unit No. 3691 :
Serial No.: 10/679,054 : Filing Date: October 3, 2003

INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222

October 31, 2007

VIA ELECTRONIC MAIL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Assignee of the entire right, title and interest in and to the above-captioned application (hereinafter "Applicant"), in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying forms PTO/SB/08A and PTO/SB/08B (substitute for 1449A/PTO) *Information Disclosure Statement by Applicant*. A copy of each of the non-U.S. patent references cited therein is herewith enclosed.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

As an Office Action has issued with respect to the referenced application, the Applicant has authorized that the appropriate fee be charged to Account No. 11-1110. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this Information Disclosure Statement to Account No. 11-1110.

Respectfully submitted,



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